

Docket Number Q116618

STATEMENT UNDER 37 CFR 3.73(b)

- (1) KOREA RESEARCH INSTITUTE OF CHEMICAL TECHNOLOGY,
 (2) JEIL PHARM. CO., LTD.,
 (3) KOREA RESEARCH INSTITUTE OF BIOSCIENCE AND BIOTECHNOLOGY, AND
 (4) CJ CORP.

Applicant/Patent Owner:

Application No./Patent No.: 10/599,913

Filed/Issue Date: October 23, 2006

Entitled: INDENE DERIVATIVES AND PROCESS FOR THE PREPARATION THEREOF

KOREA RESEARCH
 INSTITUTE OF CHEMICAL
 TECHNOLOGY, JEIL PHARM.
 CO., LTD., KOREA
 RESEARCH INSTITUTE OF
 BIOSCIENCE AND
 BIOTECHNOLOGY AND CJ
 CORP.

Corporations

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

States that it is:

1. ☒ the assignee of the entire right, title, and interest; or
 2. ☐ an assignee of less than the entire right, title and interest
 The extent (by percentage) of its ownership interest is _____ %

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 018422, Frame 0110, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: _____ To: _____
 The document was recorded in the United States Patent and Trademark Office at
 Reel _____, Frame _____, or for which a copy thereof is attached.
2. From: _____ To: _____
 The document was recorded in the United States Patent and Trademark Office at
 Reel _____, Frame _____, or for which a copy thereof is attached.

- ☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.
 [NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

- ☒ The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Sung Eun Yoo
 Signature

December 23, 2009

Date

Sung Eun YOO
 Printed or Typed Name

82-42-860-7114
 Telephone Number

President
 Title

KOREA RESEARCH INSTITUTE OF
 CHEMICAL TECHNOLOGY

☐ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

☒ The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Suk Je Sung
Signature

December 23, 2009
Date

Suk-Je SUNG
Printed or Typed Name

82-2-549-7451
Telephone Number

Representative
Title

JEIL PHARM. CO., LTD.

☐ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

☒ The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Park Young Hoon
Signature

December 23, 2009
Date

Young Hoon PARK
Printed or Typed Name

82-42-860-4114
Telephone Number

President
Title

KOREA RESEARCH INSTITUTE OF
BIOSCIENCE AND BIOTECHNOLOGY

☐ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

☒ The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Jin Soo KIM
Signature

December 23, 2009
Date

Jin Soo KIM
Printed or Typed Name

82-2-726-8114
Telephone Number

Representative
Title

CJ CORP.